# iThreads: A Threading Library for Parallel Incremental Computation


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## Abstract

Incremental computation strives for efficient successive runs of applications by re-executing only those parts of the computation that are affected by a given input change instead of recomputing everything from scratch. To realize these benefits automatically, we describe `iThreads`, a threading library for parallel incremental computation. `iThreads` supports unmodified shared-memory multithreaded programs: it can be used as a replacement for `pthreads` by a simple exchange of dynamically linked libraries, without even recompiling the application code. To enable such an interface, we designed algorithms and an implementation to operate at the compiled binary code level by leveraging MMU-assisted memory access tracking and process-based thread isolation. Our evaluation on a multicore platform using applications from the PARSEC and Phoenix benchmarks and two case-studies shows significant performance gains.



***Categories and Subject Descriptors*** D.1.3 [*Programming Techniques*]: Concurrent Programming; D.4.1 [*Operating Systems*]: Process Management; F.1.2 [*Computation by Abstract Devices*]: Modes of Computation

***General Terms*** Algorithms; Design; Performance

***Keywords*** Incremental computation; shared-memory multithreading; self-adjusting computation; memoization; Concurrent Dynamic Dependence Graph (CDDG); Release Consistency (RC) memory model



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## 1. Introduction

Many applications operate incrementally by repeatedly invoking an algorithm or a program over input data that differs slightly from that of the previous invocation [25, 30, 37, 38, 42, 46, 68]. In such a workflow, small, localized changes to the input often require only small updates to the output, creating an opportunity to update the output incrementally instead of recomputing it from scratch. Since the work done is often proportional to the change size [6], rather than the total input size, incremental computation can achieve significant performance gains and efficient use of computing resources.

To obtain the benefits of incremental computation automatically, researchers in the programming-languages community have proposed compiler- and language-based approaches. While this has been an area of active research for several decades (§7), *almost* all prior work targets sequential programs—with the notable exceptions of two recent approaches for *parallel* incremental computation [27, 48].

Importantly, by leveraging a language-based approach, these two prior proposals [27, 48] have demonstrated substantial speedups, thus establishing that the promise of incremental computation can be realized in parallel programs. Specifically, these systems enable efficient and correct incremental updates to the output through the use of new programming languages with special data types (§7), and by requiring a *strict* fork-join programming model, where threads communicate *only* at end points (i.e., when forking/joining).

These choices reflect a difficult design tradeoff: they provide the compiler and runtime system with the information required to maximize reuse, but they also impose a cost on the programmer, who has to provide appropriate type annotations and, in some cases, also application-specific functions to safely implement the new type system. Furthermore, due to the restricted programming model, they also preclude support for many existing shared-memory multithreaded programs

```
$ LD_PRELOAD=iThreads.so                         // preload iThreads
$./<program_executable> <input-file>             // initial run
$ emacs <input-file>                             // input modified
$ echo "<off> <len>" >> changes.txt              // specify changes
$./<program_executable> <input-file>             // incremental run
```

**Figure 1.** How to run an executable using `iThreads`

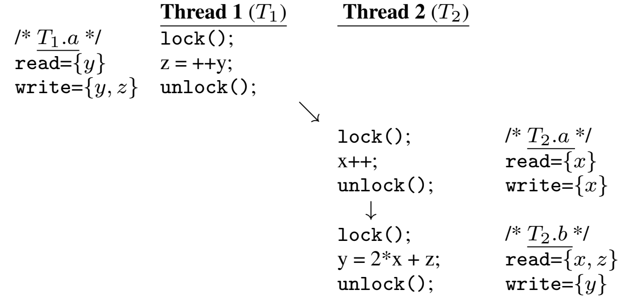



**Figure 2.** An example of shared-memory multithreading

and synchronization primitives (such as *R/W locks, mutexes, semaphores, barriers*, and *conditional wait/signal*).

In this paper, we instead target increased generality, and to this end propose an OS-based approach to parallel incremental computation. More specifically, we present `iThreads`, a threading library for parallel incremental computation, which achieves the following goals.

- Practicality: `iThreads` supports the shared-memory multi-threaded programming model with the full range of synchronization primitives in the `POSIX` API.
- Transparency: `iThreads` supports unmodified programs (e.g., `C/C++`) without requiring the use of a new language with special data types.
- Efficiency: `iThreads` achieves efficiency, without limiting the available application parallelism, as its underlying algorithms are parallel as well.

The `iThreads` library is easy to use (see Figure 1 for the workflow): the user just needs to preload `iThreads` to replace `pthreads` by using the environment variable `LD_PRELOAD`. The dynamically linkable shared library interface allows existing executables to benefit from `iThreads`.

For the first run of a program (or the *initial run*), `iThreads` computes the output from scratch and records an execution trace. All subsequent runs for the program are *incremental runs*. For an incremental run, the user modifies the input and specifies the changes; e.g., assuming that the program reads the input from a file, the user specifies the `offset` and `len` for the changed parts of the file. Thereafter, `iThreads` incrementally updates the output based on the specified input changes and the recorded trace from the previous run.

Our approach relies on recording the data and control dependencies in a computation during the initial run by constructing a *Concurrent Dynamic Dependence Graph (CDDG)*. The CDDG tracks the input data to a program, all sub-computations (a *sub-computation* is a unit of the computation that is either reused or recomputed), the data flow between them, and the final output. For the incremental run, a (parallel) *change propagation* algorithm updates the output and the CDDG by identifying sub-computations that are affected by the changes and recomputing only those sub-computations.

We make the following main contributions:

- We present parallel algorithms for incremental multi-threading (§4).
- We present an implementation of the algorithms encapsulated in a dynamically linkable shared library built using `Dthreads` [63], which we call `iThreads` (§5).
- We empirically demonstrate the effectiveness of `iThreads` by applying it to applications taken from the PARSEC [24] & Phoenix [74] benchmark suites and case studies (§6).

Our experience with `iThreads` shows that significant performance gains (time savings) and efficient resource utilization (work savings) can be achieved in many parallel applications without requiring any effort from the programmer.

## 2. Design Overview

We base our design on `POSIX` threads, or `pthreads`, a widely used threading library for shared-memory multithreading with a rich set of synchronization primitives.

### 2.1 The Basic Approach

Our design adapts the principles of self-adjusting computation [6] for shared-memory multithreading, and also makes use of techniques from record-replay systems employed for reliable multithreading (§7). At a high level, the basic approach proceeds in the following three steps:

1. Divide a computation into a set of sub-computations $N$.
2. During the initial run, record an execution trace to construct a Concurrent Dynamic Dependence Graph (or CDDG). The CDDG captures a partial order $O = (N, \rightarrow)$ among sub-computations with the following property: given a sub-computation $n$ (where $n \in N$) and the subset of sub-computations $M$ that precede it according to $\rightarrow$, i.e., $M = \{M \subset N \mid \forall m \in M, m \rightarrow n\}$, if the inputs to all $m \in M$ are unchanged and the incremental run follows the partial order $\rightarrow$, then $n$'s input is also unchanged and we can reuse $n$'s memoized effect without recomputing $n$.
3. During the incremental run, propagate the changes through the CDDG. That is, the incremental run follows an order that is consistent with the recorded partial order $\rightarrow$, reusing sub-computations whose input is unchanged and re-computing those whose input has changed.

| Case | Input | Thread schedule | Sub-computations | |
|---|---|---|---|---|
| | | | Reused | Recomputed |
| *A* | $x, y^*, z$ | $T_1.a \rightarrow T_2.a \rightarrow T_2.b$ | $T_2.a$ | $T_1.a, T_2.b$ |
| *B* | $x, y, z$ | $(T_2.a \rightarrow T_2.b \rightarrow T_1.a)^*$ | $T_2.a$ | $T_1.a, T_2.b$ |
| *C* | $x, y, z$ | $T_1.a \rightarrow T_2.a \rightarrow T_2.b$ | $T_1.a, T_1.b, T_2.a$ | — |

**Figure 3.** For the incremental run, some cases with changed input or thread schedule (changes are marked with *)

### 2.2 Example

We next use a simple example, shown in Figure 2, to explain how our basic approach works. The example considers a multi-threaded execution with two threads ($T_1$ & $T_2$) modifying three shared variables ($x$, $y$, & $z$) using a lock.

**Step #1: Identifying sub-computations.** We divide a thread execution into sub-computations at the boundaries of `lock()` / `unlock()`. (We explain this design choice in §3.) We identify these sub-computations as $T_1.a$ for thread $T_1$, and $T_2.a$ & $T_2.b$ for thread $T_2$. For the initial run, let us assume that thread $T_2$ acquired the lock after the execution of sub-computation $T_1.a$. This resulted in the following thread schedule for sub-computations: $T_1.a \rightarrow T_2.a \rightarrow T_2.b$.

**Step #2: Construct the CDDG.** To understand the dependencies that need to be recorded to build the CDDG, we consider incremental runs with changes either in the input data or the thread schedule (shown in Figure 3).

We first consider the case of change in the input data. An important function of the CDDG is to propagate the changes through the graph by determining whether a sub-computation is transitively affected by the input change. For example consider **case *A*** in Figure 3, when the value of variable $y$ is changed—in this case, we need to recompute $T_1.a$ because it reads the modified value of $y$. In contrast, we can still reuse $T_2.a$ because it is independent of $y$ and also not affected by the writes made by $T_1.a$. However, we need to recompute $T_2.b$ even though it does not directly depend on $y$, since it is still transitively affected (via modified $z$) by the writes made by $T_1.a$. Therefore, the CDDG needs to record *data dependencies* (meaning which sub-computations modify a value that is read by another sub-computation) to determine whether a sub-computation can be reused or if it has to be recomputed.

We next consider the case of a change in the thread schedule. In general, multi-threaded programs are non-deterministic because the OS scheduler is free to interleave sub-computations in different ways. As a result, a problem can arise if the initial and the incremental runs follow different schedules. This might alter the shared state, and therefore cause unnecessary re-computations even without any input changes. For example consider **case *B*** in Figure 3: if thread $T_1$ acquires the lock after the execution of $T_2.b$ (i.e., a changed thread schedule of $T_2.a \rightarrow T_2.b \rightarrow T_1.a$) then sub-computations $T_1.a$ and $T_2.b$ need to be recomputed because of the changed value of $y$. Therefore, (and as observed by prior work on reliable multithreading (§7)) the partial order

**Algorithm 1:** Basic algorithm for the incremental run

```
dirty-set ← {changed input};
executeThread(t)
forall sub-computations in thread t do
    // Check a sub-computation's validity in happens-before order
    if (read-set ∩ dirty-set) then
        – recompute the sub-computation
        – add the write-set to the dirty-set
    else
        – skip execution of the sub-computation
        – write memoized value of the write-set to address space
    end
end
```

captured by the CDDG ($\rightarrow$) is a *happens-before* order among synchronization events, which ensures that, given unchanged input and that all threads acquire locks in the same order as dictated by $\rightarrow$, all sub-computations remain unchanged, as shown in **case *C*** in Figure 3. We explain how to build this partial order in §4.

**Step #3: Change propagation.** The previous observations allow us to reach a refined explanation of our basic algorithm (see Algorithm 1). The starting point is the CDDG that records the happens-before order ($\rightarrow$) between sub-computations, according to the synchronization events. Furthermore, data dependencies are recorded implicitly in the CDDG by recording the read and write sets: if we know what data is read and written by each sub-computation, we can determine whether a data dependency exists, i.e., if a sub-computation is reading data that was modified by another sub-computation. Therefore, the incremental run visits sub-computations in an order that is compatible with $\rightarrow$, and, for each sub-computation, uses the read and write sets to determine whether part of its input was modified during the incremental run. If the read-set is modified then the sub-computation is re-computed, otherwise we skip the execution of the sub-computation, and directly write the memoized value of the write-set to the address space.

## 3. System Model

**Memory consistency model.** As we explained in the previous section, the CDDG implicitly records read-after-write data dependencies between sub-computations using the read and write sets. The efficiency of the mechanism that records these dependencies is related to the memory model we use, and consequently on the granularity of sub-computations. As a design choice, our approach relies on the use of the Release Consistency [44] (RC) memory model. To understand this design choice, consider a possible option of using a strict memory model such as Sequential Consistency [58] (SC). Under the SC model, one would have to define the regions of code bounded by shared-memory accesses as the granularity of sub-computations. This is because any write made by a thread can potentially affect the execution of another thread since it may read the same memory location. Intercepting this

inter-thread communication would be prohibitively expensive, essentially requiring tracking of shared-memory accesses at the granularity of individual load/store instructions.

In contrast, the RC model only requires writes made by one thread to become visible to other threads at synchronization points, thus restricting inter-thread communication to such points. This allows us to define the granularity of a sub-computation at the boundaries of synchronization points, which is essential to achieving feasible runtime overheads.

Note that the RC model still guarantees correctness and liveness for applications that are data-race-free [9]. Consequently, `iThreads` assumes that the programs are data-race-free w.r.t. `pthreads` synchronization primitives. In fact, the semantics provided by `iThreads` is no more restrictive than `pthreads` semantics [4], which mandate that all accesses to shared data structures must be properly synchronized using `pthreads` synchronization primitives, and which guarantees only that any updates become visible to other threads when invoking a `pthreads` synchronization primitive.

**Synchronization model.** We support the full range of synchronization primitives in the `pthreads` API. However, due to the weakly consistent RC memory model, our approach does not support *ad-hoc synchronization mechanisms* [82] such as user-defined spin locks. (We will revisit this limitation in §8.) Our current implementation also does not handle `C/C++` atomic synchronization constructs.

## 4. Algorithms

In this section we present two parallel algorithms for incremental multithreading. The first algorithm is for the initial run that executes the program from scratch and constructs the CDDG. The second algorithm is for the incremental run that performs change propagation through the CDDG. Both algorithms rely on the CDDG, which we explain first.

### 4.1 Concurrent Dynamic Dependence Graph (CDDG)

The CDDG is a directed acyclic graph with vertices representing sub-computations (or thunks), and two types of edges to record dependencies between thunks: happens-before edges and data-dependence edges. We next explain how to derive vertices and edges.

**Thunks (or sub-computations).** We define a *thunk* as the sequence of instructions executed by a thread between two `pthreads` synchronization API calls. We model an execution of thread $t$ as a sequence of thunks ($L_t$). Thunks in a thread are totally ordered based on their execution order using a monotonically increasing thunk counter ($\alpha$). We refer to a thunk of thread $t$ using the counter $\alpha$ as an index in the thread execution sequence ($L_t$), i.e., $L_t[\alpha]$.

**Happens-before edges.** There are two types of happens-before edges: control edges, which record the intra-thread execution order; and synchronization edges, which record explicit inter-thread synchronization events.

Control edges are simply derived by ordering thunks of the same thread based on their execution order. Synchronization edges are derived by modeling synchronization primitives as *acquire* and *release* operations. In particular, during synchronization, a synchronization object $s$ is *released* by one set of threads and subsequently *acquired* by a corresponding set of threads blocked on the synchronizing object. For example, an `unlock(s)` operation releases $s$ and a corresponding `lock(s)` operation acquires it. Similarly, all other synchronization primitives can also be modeled as acquire and release operations [43, 71].

Under the acquire-release relation, a release operation happens-before the corresponding acquire operation. Given that a thunk's boundaries are defined at synchronization points, the acquire and release operations also establish the happens-before ordering between thunks of different threads. Formally, two thunks $L_{(t_1)}[\alpha]$ & $L_{(t_2)}[\beta]$ are connected by a

- *control edge* iff they belong to the same thread ($t_1 = t_2$) and $L_{(t_1)}[\alpha]$ was executed immediately before $L_{(t_2)}[\beta]$;
- *synchronization edge* iff $L_{(t_1)}[\alpha]$ releases a synchronization object $s$ and $L_{(t_2)}[\beta]$ is a thunk that acquires $s$ next.

**Data-dependence edges.** Data dependencies are tracked to establish the *update-use relationship* between thunks. Intuitively, such a relationship exists between two thunks if one reads data written by the other. More formally, for a thunk $L_t[\alpha]$, the *read-set* $L_t[\alpha].R$ and the *write-set* $L_t[\alpha].W$ are the set of addresses that were read-from and written-to, respectively, by the thread $t$ while executing the thunk. Two thunks $L_{(t_1)}[\alpha]$ and $L_{(t_2)}[\beta]$ are then connected by a

- *data-dependence edge* iff $L_{(t_2)}[\beta]$ is reachable from $L_{(t_1)}[\alpha]$ via happens-before edges and $L_{(t_1)}[\alpha].W \cap L_{(t_2)}[\beta].R \neq \emptyset$.

### 4.2 Algorithm for the Initial Run

During the initial run, we record the execution of the program to construct the CDDG. Algorithm 2 presents the high-level overview of the initial run algorithm, and details of the subroutines used in the algorithm are presented in Algorithm 3. The algorithm is executed by threads in parallel. The algorithm employs run-time techniques to derive the information needed for the CDDG. In particular, during a thread execution, the thread traces memory accesses on `load/store` instructions (using routine `onMemoryAccess()`), and adds them to the read and the write set of the executing thunk. (Our implementation, described in §5, derives the read and write sets at the granularity of memory pages using the OS memory protection mechanism.) The thread continues to execute instructions and perform memory tracing until a synchronization call is made to the `pthreads` library. At the synchronization point, we define the end point for the executing thunk and memoize its end state (using routine `endThunk()`). Thereafter, we let the thread perform the synchronization. Next, we start a new thunk and repeat the process until the thread terminates.

**Algorithm 2:** The initial run algorithm

```
/* Let S be the set of synchronization objects and T be the number
of threads in the system. */
∀s ∈ S, ∀i ∈ {1, ..., T} : C_s[i] ← 0; // All sync clocks set to zero
executeThread(t)
begin
    initThread(t);
    while t has not terminated do
        startThunk(); // Start new thunk
        repeat
            Execute instruction of t;
            if (instruction is load or store) then
                onMemoryAccess();
            end
        until t invokes synchronization primitive;
        endThunk(); // Memoize the end state of thunk
        α ← α + 1; // Increment thunk counter
        // Let s denote invoked synchronization primitive
        onSynchronization(s);
    end
end
```

**Algorithm 3:** Subroutines for the initial run algorithm

```
initThread(t)
begin
    α ← 0; // Initializes thunk counter (α) to zero
    ∀i ∈ {1, ..., T} : C_t[i] ← 0; // t's clock set to zero
end
startThunk()
begin
    C_t[t] ← α; // Update thread clock
    ∀i ∈ {1, ..., T} : L_t[α].C[i] ← C_t[i]; // Update thunk clock
    L_t[α].R/W ← ∅; // Initialize read/write sets to empty set
end
onMemoryAccess()
begin
    if load then
        L_t[α].R ← L_t[α].R ∪ {memory-address}; // Read
    else
        L_t[α].W ← L_t[α].W ∪ {memory-address}; // Write
    end
end
endThunk()
begin
    memo (L_t[α].W) ← content(L_t[α].W); // Globals & heap
    memo (L_t[α].Stack) ←content(Stack);
    memo (L_t[α].Reg) ←content(CPU_Registers);
end
onSynchronization(s)
begin
    switch Syncronization type do
        case release(s):
            // Update s's clock to hold max of its and t's clocks
            ∀i ∈ {1, ..., T} : C_s[i] ← max(C_s[i], C_t[i]);
            sync(s); // Perform the synchronization

        case acquire(s):
            sync(s); // Perform the synchronization
            // Update t's clock to hold max of its and s's clocks
            ∀i ∈ {1, ..., T} : C_t[i] ← max(C_s[i], C_t[i]);
    end
end
```

To infer the CDDG, control and synchronization edges are derived by ordering thunks based on the happens-before order. To do so, we use vector clocks ($C$) [65] to record a partial order that defines the happens-before relationship between thunks during the initial run, and in the incremental run we follow this partial order to propagate the changes. Our use of vector clocks is motivated by its efficiency for recording a partial order in a decentralized manner, rather than having to serialize all synchronization events in a total order.

Our algorithm maintains one vector clock for each thread, thunk, and synchronization object. These vector clocks are an array of size $T$, where $T$ denotes the number of threads in the system, which are numbered from 1 to $T$.

Each thread $t$ has a vector clock, called its *thread clock* $C_t$, to track its local logical time, which is updated at the start of each thunk (using routine `startThunk()`) by setting $C_t[t]$ to the thunk index $\alpha$. Further, each thunk $L_t[\alpha]$ has a *thunk clock* $L_t[\alpha].C$, which stores a snapshot of $C_t[t]$ to record the thunk's position in the CDDG.

Finally, each synchronization object $s$ has a *synchronization clock* $C_s$ that is used to order release and acquire operations (see `onSynchronization()`). More precisely, if a thread $t$ invokes a release operation on $s$, then $t$ updates $C_s$ to the component-wise maximum of its own thread clock $C_t$ and $C_s$. Alternatively, if $t$ invokes an acquire operation on $s$, it updates its own thread clock $C_t$ to the component-wise maximum of $C_t$ and $s$'s synchronization clock $C_s$. This ensures that a thunk acquiring $s$ is always ordered after the last thunk to release $s$.

At the end of the initial run algorithm, the CDDG is defined by the read/write sets and the thunk clock values of all thunks.

### 4.3 Algorithm for the Incremental Run

The incremental run algorithm takes as input the CDDG ($\forall t : L_t$) and the modified input (named the dirty set $M$), and performs change propagation to update the output as well as the CDDG for the next incremental run. As explained in the basic change propagation algorithm (Algorithm 1), each thread transitions through its list of thunks by following the recorded happens-before order to either reuse or recompute thunks. To make this algorithm work in practice, however, we need to address the following three challenges.

**(1) Missing writes.** When a thunk is recomputed during the incremental run, it may happen that the executing thread no longer writes to a previously written location because of a data-dependent branch. For such cases, our algorithm should update the dirty set with the new write-set of the thunk as well as the *missing writes*. These consist of the set of memory locations that were part of the thunk's write-set in the previous run, but are missing in the current write-set.

**(2) Stack dependencies.** As mentioned previously, we transparently derive read and write sets by tracking the global memory region (heap/globals) using the OS memory protection mechanism (detailed in §5). Unfortunately, this mechanism is inefficient for tracking the per-thread stack region

**Algorithm 4:** The incremental run algorithm

```
Data: Shared dirty set M ← { modified pages } and L_t
∀s ∈ S, ∀i ∈ {1, ..., T} : C_s[i] ← 0; // All sync clocks set to 0
executeThread(t)
begin
    initThread(t); // Same as initial run algorithm
    while (t has not terminated and isValid(L_t[α]) ) do
        // Thread t is valid
        await (isEnabled(L_t[α]) or ! isValid(L_t[α]) );
        if (isEnabled(L_t[α]) then
            resolveValid(L_t[α]);
            C_t[t] ← α; // Update thread clock
            α ← α + 1; // Increment thunk counter
        end
    end
    // The thread has terminated or a thunk has been invalidated
    L'_t ← L_t; // Make a temp copy for missing writes
    while (t has not terminated or α < |L'_t|) do
        // Thread t is invalid
        if (α < |L'_t|) then
            M ← M ∪ L'_t[α].W; // Add missing writes
            C_t[t] ← α; // Update thread clock
        end
        if (t has not terminated) then
            resolveInvalid(L_t[α]);
        end
        α ← α + 1; // Increment thunk counter
    end
    // The thread has terminated
end
```

(which usually resides in a single page storing local variables) because the stack follows a *push/pop* model, where the stack is written (or gets dirty) when a call frame is pushed or popped, even without a local variable being modified. To avoid the overheads of tracking local variables, we do not track the stack. Instead, we follow a conservative strategy to capture the intra-thread data dependencies. In our design, once a thunk is recomputed (or invalidated) in a thread, all remaining thunks of the thread are also invalidated in order to capture a possible change propagation via local variables.

**(3) Control flow divergence.** During the incremental run, it may happen that the control flow diverges from the recorded execution. As a result of the divergence, new thunks may be created or existing ones may be deleted. As in the previous challenge, the algorithm we propose takes a simple approach of only reusing a prefix of each thread (before the control flow diverges), and subsequently recording the new CDDG for enabling change propagation in subsequent runs.

**Details.** Algorithm 4 presents the overview of the incremental run algorithm, and details of subroutines used in the algorithm are presented in Algorithm 5. The incremental run algorithm allows all threads to proceed in parallel, and associates a state with each thunk of every thread. The state of each thunk follows a state machine (shown in Figure 4), which enforces that each thread waits until all thunks that happened-before its next thunk to be executed are resolved (i.e., either recomputed or reused), and only when it is certain that reusing memoized results is not possible will it start to re-execute

**Algorithm 5:** Subroutines for the incremental run algorithm

```
isEnabled(L_t[α])
begin
    if (∀i ∈ {1, ..., T} \ {t} : (C_i[i] > L_t[α].C[i])) then
        // All thunks happened-before are resolved
        return (isValid(L_t[α])); // check if it's valid
    end
    return false;
end
isValid(L_t[α])
begin
    if ((L_t[α].R ∩ M) = ∅) then
        return true; // Read set does not intersects with dirty set
    end
    return false;
end
resolveInvalid(L_t[α])
begin
    startThunk(); // Same as initial run algorithm
    repeat
        Execute instruction of t;
        if (instruction is load or store) then
            onMemoryAccess(); // Same as initial run algorithm
        end
    until t invokes synchronization primitive;
    M ← M ∪ L_t[α].W; // Add the new writes
    endThunk(); // Same as initial run algorithm
    onSynchronization(s); // Same as initial run algorithm
end
resolveValid(L_t[α])
begin
    address space ← memo(L_t[α].W); // Globals and heap
    stack ← memo(L_t[α].Stack);
    CPU registers ← memo(L_t[α].Reg); // Also adjusts PC
    onSynchronization(s); // Same as initial run algorithm
end
```

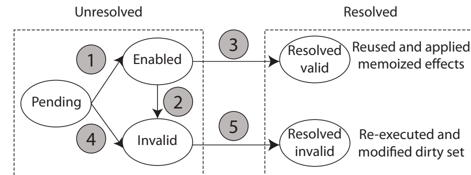



**Figure 4.** State transition for thunks during incremental run

its next thunk. In particular, the state of a thunk is either resolved or unresolved. The state of a thunk is resolved when the thunk has either been reused (`resolved-valid`) or re-executed (`resolved-invalid`). Otherwise, the thunk is still unresolved. An unresolved thunk is in one of the following states: `pending`, `enabled` or `invalid`.

Initially, the state of all thunks is `pending`, except for the initial thunk, which is `enabled`. A pending thunk is not "ready" to be considered for re-computation or reuse. A `pending` thunk of a thread is `enabled` (state transition ①) when all thunks (of any thread) that happened-before are resolved (either `resolved-valid` or `resolved-invalid`). To check for this condition (using routine `isEnabled()`), we make use of the strong clock consistency condition [65]

provided by vector clocks to detect causality ($a \to b$ iff $C(a) < C(b)$). In particular, we compare the recorded clock value of the thunk against the current clock value of all threads to check that all threads have passed the time recorded in the thunk's clock.

An `enabled` thunk transitions to `invalid` (state transition ②) if the read set of the thunk intersects with the dirty set. Otherwise, the `enabled` thunk transitions to `resolved-valid` (state transition ③), where we skip the execution of the thunk and directly apply the memoized write-set to the address space, including performing the synchronization operation (using the `resolveValid()` routine).

A `pending` thunk transitions to `invalid` (state transition ④) if any earlier thunk of the same thread is `invalid` or `resolved-invalid`. The `invalid` thunk transitions to `resolved-invalid` (state transition ⑤) when the thread re-executes the thunk and adds the write set to the dirty set (including any missing writes). The executing thread continues to resolve all the remaining `invalid` thunks to `resolved-invalid` until the thread terminates. To do so, we re-initialize the read/write sets of the new thunk to the empty set and start the re-execution, similarly to the initial run algorithm (using the `resolveInvalid()` routine). While re-executing, the thread updates the CDDG, and also records the state of the newly formed thunks for the next run.

## 5. Implementation

We implemented `iThreads` as a 32-bit dynamically linkable shared library for the GNU/Linux OS (Figure 5). `iThreads` reuses two mechanisms of the `Dthreads` implementation [63]: the memory subsystem (§5.1) and a custom memory allocator (§5.4). Additionally, our implementation also includes the `iThreads` memoizer, which is a stand-alone application. We next describe the implementation in detail.

### 5.1 iThreads Library: Memory Subsystem

The `iThreads` memory subsystem implements the RC memory model and derives per-thunk read/write sets.

**Release consistency memory model.** To implement the RC memory model, `iThreads` converts threads into separate processes using a previously proposed mechanism [17]. This "thread-as-a-process" approach provides each thread with its own private address space, and thus allows `iThreads` to restrict inter-thread communication. In practice, `iThreads` forks a new process on `pthread_create()` and includes a *shared memory commit* mechanism [28, 56] that enables communication between processes at the synchronization points, as required by the RC memory model.

At a high level, throughout the application execution, `iThreads` maintains a copy of the address space contents in a (shared) reference buffer, and it is through this buffer, with instrumentation provided by `iThreads` at the synchronization points, that the processes transparently communicate (Figure 6). Communication between processes is implemented

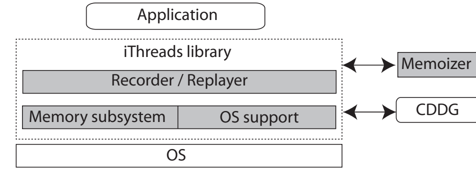



**Figure 5.** `iThreads` implementation architecture. Shaded boxes represent the main components of the system.

by determining the thunk write-set, as explained next, which is then used to calculate a byte-level delta [63].

To compute the byte-level delta for each dirty page, `iThreads` performs a byte-level comparison between the dirty page and the corresponding page in the reference buffer, and then applies atomically the deltas to the reference buffer. In case there are concurrent writes by different processes to the same memory location, `iThreads` resolves the conflict by using a last-writer wins policy.

Furthermore, for efficiency reasons, the implementation of the communication mechanism relies on private memory-mapped files—this allows different processes to share physical pages until processes actually write to the pages, and still keeps performance overheads low by virtue of the OS copy-on-write mechanism.

**Read and write set.** Besides serving as the foundation for the RC memory model, the adopted thread-as-a-process mechanism is also essential for easily deriving *per-thread* read and write sets. More specifically, `iThreads` uses the OS memory protection mechanism to efficiently track the read and write sets. In particular, `iThreads` renders the address space inaccessible by invoking `mprotect(PROT_NONE)` at the beginning of each thunk, which ensures that a signal is triggered the first time a page is read or written by the thunk. Hence, within the respective signal handler, `iThreads` is able to record the locations of the accesses made to memory at the granularity of pages. Immediately after recording a memory access, the `iThreads` library proceeds to reset the page protection bits, allowing the thunk to resume the read/write operation as soon as the handler returns. In addition, resetting the permissions also ensures that subsequent accesses proceed without further page faults. In this way, `iThreads` incurs at most two page faults (one for reads & one for writes) for each accessed page during a thunk execution.

### 5.2 iThreads Library: Recorder and Replayer

The `iThreads` library executes the application in either *recording* or *replaying* mode. We next describe the two subcomponents, recorder and replayer, that realize these modes of execution by implementing the algorithms described in §4.

**Recorder.** Since `iThreads` reuses the `Dthreads` memory subsystem, which serializes memory commit operations from different threads, the implementation of the recording algorithm is greatly simplified. Due to the resulting implicit serialization of thunk boundaries, the employed thread, thunk,

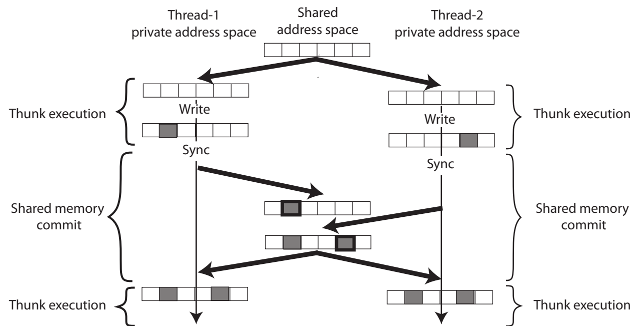



**Figure 6.** Overview of the RC model implementation

and synchronization vector clocks effectively reduce to scalar sequence numbers, which allows the recorder to simply encode the thread schedule using thunk sequence numbers.

The recorder is further responsible for memoizing the state of the process at the end of each thunk. To this end, using an assembly routine, `iThreads` stores the register values on the stack, takes a snapshot of the dirty pages in the address space, and stores the snapshot in the memoizer (§5.4). In addition, the recorder also stores the CDDG, consisting of thunk identifiers (thread number and thunk sequence number) and their corresponding read/write sets, to an external file.

**Replayer.** Similarly to the recorder, the replayer relies on thunk sequence numbers to enforce the recorded schedule order. The replayer first reads the file with the input changes and the CDDG to initialize the replay algorithm. During an incremental run, whenever memoized thunks can be reused, the replayer retrieves the appropriate state from the memoizer, patches the address space and restores the state of registers.

### 5.3 iThreads Library: OS Support

As practical applications depend on OS services, there are two important aspects related to the OS that `iThreads` needs to address. First, system calls are used by the application to communicate with the rest of the system, so the effects of system calls (on the system and application) need to be addressed; in particular, input changes made by the user need to be handled. Second, there are OS mechanisms that can unnecessarily change the memory layout of the application across runs, preventing the reuse of memoized thunks.

**System calls and input changes.** Since `iThreads` is a user-space library running on top of an unmodified Linux kernel, it has no access to kernel data structures. The effects of system calls thus cannot be memoized or replayed. To support system calls, `iThreads` instead considers system calls to be thunk delimiters (in addition to synchronization calls). Hence, immediately before a system call takes place, `iThreads` memoizes the thunk state, and immediately after the system call returns, `iThreads` determines whether it still can reuse the subsequent thunks according to the replayer algorithm.

To ensure that system calls *take effect* (externally and internally), `iThreads` invokes system calls in all executions, even during replay runs. To guarantee that effects of system calls on the application (i.e., the return values and writes made to the address space) are *accounted for* by the thunk invalidation rules, `iThreads` infers the write-set of the system calls and checks whether the write-set contents match previous runs by leveraging knowledge of system call semantics (e.g., some system call parameters return pointers where data is written).

An important special case is that of reading the potentially large input to the computation (e.g., using `mmap`). In this case, `iThreads` efficiently identifies the content that does not match across runs by allowing the user to specify input changes explicitly. This relies on an external file, either written manually by users or produced by external tools, that lists the modified offset ranges (Figure 1).

In practice, our implementation intercepts system calls through wrappers at the level of `glibc` library calls.

**Memory layout stability.** To avoid causing unnecessary data dependencies between threads, `iThreads` reuses the custom memory allocator of `Dthreads`, which is based on HeapLayer [16]. The allocator isolates allocation and deallocation requests on a per-thread basis by dividing the application heap into a fixed number of per-thread sub-heaps. This ensures that the sequence of allocations in one thread does not impact the layout of allocations in another thread, which otherwise might trigger unnecessary re-computations.

In addition, `iThreads` disables Address Space Layout Randomization (ASLR) [1], an OS feature that deliberately randomizes the memory layout.

### 5.4 iThreads Memoizer

The memoizer is responsible for storing the end state of each thunk so that its effects can be replayed in subsequent incremental runs. The memoizer is implemented as a separate program that stores the memoized state in a shared memory segment, which serves as the substrate to implement a key-value store that is accessible by the recorder/replayer.

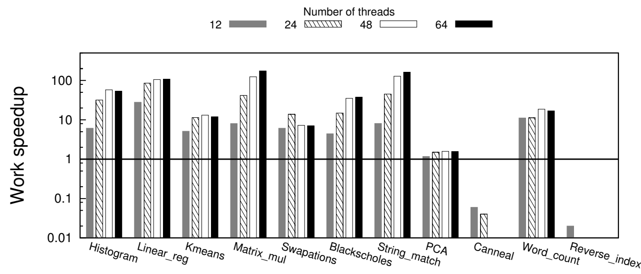
## 6. Evaluation

Our evaluation answers the following three main questions:

- What performance gains does `iThreads` provide for the incremental run? (§ 6.1)
- How do these gains scale with increases in the size of the input, the computation, and the input change? (§ 6.2)
- What overheads does `iThreads` impose for memoization and for the initial run? (§ 6.3)

**Experimental setup.** We evaluated `iThreads` on a six-core Intel(R) Xeon(R) CPU X5650 platform with 12 hardware threads running at 2.67 GHz and 32 GB of main memory.

**Applications and datasets.** We evaluated `iThreads` with applications from two benchmark suites: PARSEC [24] and Phoenix [74]. Table 1 lists the applications evaluated and their



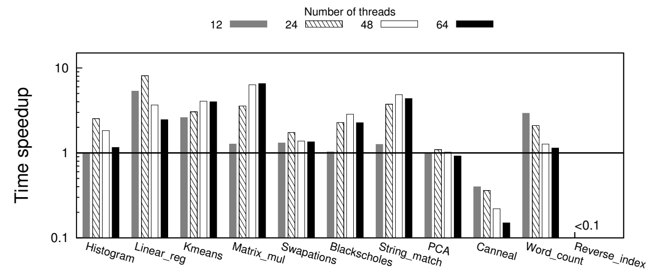
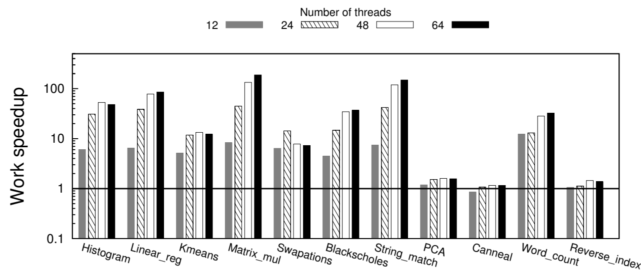



**Figure 7.** Performance gains of `iThreads` with respect to `pthreads` for the incremental run



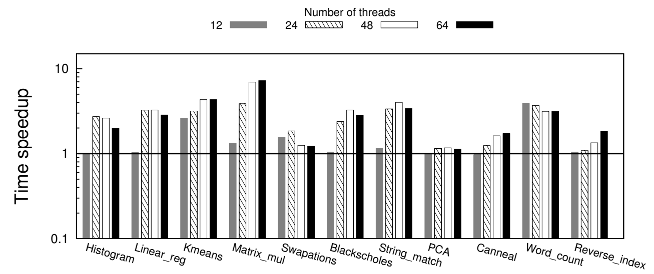



**Figure 8.** Performance gains of `iThreads` with respect to `Dthreads` for the incremental run

respective input sizes in terms of 4KB pages. In addition, we also report the gains with two case studies (§6.4).

**Metrics: work and time.** We consider two types of measures, *work* and *time*. Work refers to the total amount of computation performed by all threads and is measured as the sum of the total runtime of all threads. Time refers to the end-to-end runtime to complete the parallel computation. Time savings reflect reduced end user perceived latency, whereas work savings reflect improved resource utilization.

**Measurements.** For all measurements, each application was executed 12 times. We exclude the lowest and highest measurements, and report the average over the 10 remaining runs.

### 6.1 Performance Gains

We first present a comparison of `iThreads`'s incremental run with `pthreads` and `Dthreads`, as shown in Figures 7 and 8 respectively. In this experiment, we modified one randomly chosen page of the input file prior to the incremental run. We then measured the work and time required by `iThreads`'s incremental run, as well as by `pthreads` and `Dthreads`, which re-compute everything from scratch. We report the work and time speedups (i.e., `iThreads`'s performance normalized by the performance of `pthreads`/`Dthreads`) for a varying number of threads ranging from 12 to 64 threads. When comparing the performance, we use the same number of threads in `iThreads` and `pthreads`/`Dthreads`.

The experiment shows that the benefits of using `iThreads` vary significantly across applications. In over half of the evaluated benchmarks (7 out of 11), `iThreads` was able to achieve at least $2X$ time speedups. In contrast, for applications such as `canneal` and `reverseindex`, `iThreads` can be very inefficient, by a factor of more than $15X$, an effect that we explain in further detail in §6.3. Overall, the results show that `iThreads` is effective across a wide range of applications, but also that the library is not a one-size-fits-all solution.

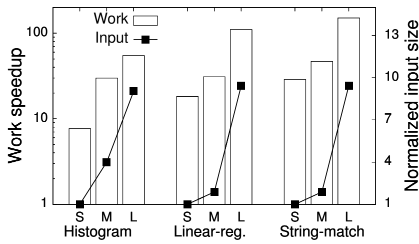
As expected, we observed that increasing the number of threads tended to yield higher speedups. This is because, for a fixed input size, a larger number of threads translates to less work per thread. As a result, `iThreads` is forced to recompute fewer thunks when a single input page is modified.

Note that work speedups do not directly translate into time speedups. This is because even if just a single thread is affected by changes, the end-to-end runtime is still dominated by the (slowest) invalidated thread's execution time.

### 6.2 iThreads Scalability

In a second experiment, we investigated the scalability of `iThreads` w.r.t. increases in the size of the input, the amount of computation (work), and the size of the input change.

**Input size.** We first present the performance of `iThreads` as we increase the input data size for the three application benchmarks (`histogram, linear regression`, and `string match`) that are available in three input sizes: small ($S$), medium ($M$), and large ($L$). (We used the large size in §6.1.) Figure 9 shows a bar plot of the work and time speedups w.r.t. `pthreads` for different input sizes (S, M, L) with a single modified page for 64 threads. For reference, the normalized input size is also shown by the line plot in the same figure. In summary, this result shows that speedups increase with the input size due to increased work savings.



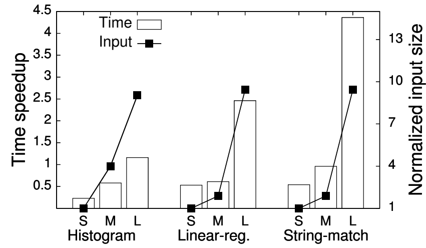



**Figure 9.** Scalability with data (work and time speedups)

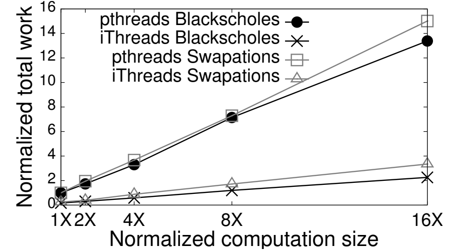
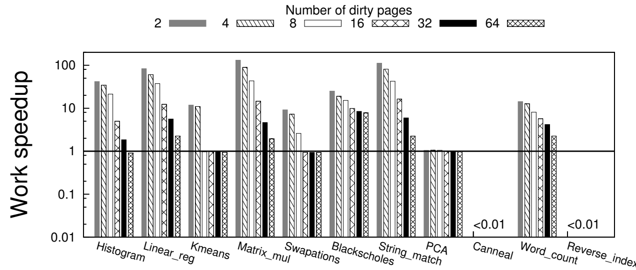



**Figure 10.** Scalability with work



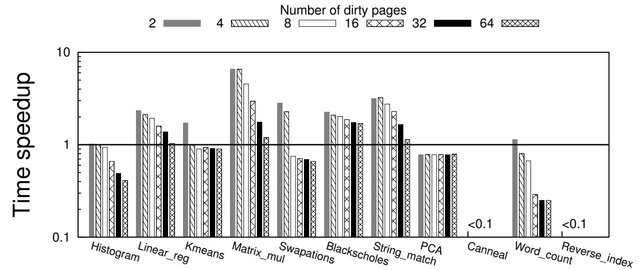



**Figure 11.** Scalability with input change compared to `pthreads` for 64 threads

**Computation (work).** We next present `iThreads`'s incremental run performance for two applications (`swapations` and `blackscholes`) that allow the amount of work required to be tuned with a parameter. Figure 10 reports work speedups as the normalized work is increased (from $1X$ to $16X$) for a single modified page and 64 threads. The result shows the gap between `pthreads` and `iThreads` widens as the total work increases, which directly translates to higher speedups.

**Input change.** Finally, we present `iThreads`'s incremental run performance in the case of multiple modified input pages. To avoid confining changes to a single thread, we modified multiple non-contiguous pages of the input that are read by different threads. Figure 11 shows speedups w.r.t. `pthreads` with different change sizes (ranging 2 to 64 dirty pages) for 64 threads. As expected, the results show that speedups decrease as larger portions of the input are changed because more threads are invalidated.

### 6.3 Overheads

`iThreads` imposes two types of overheads: (1) space overheads; and (2) performance overheads during the initial run.

**Space overheads.** Table 1 shows the space overheads for memoizing the end state of the thunks and storing the CDDG. We report the overheads in terms of 4KB pages for 64 threads (space overhead grows with the number of threads). To put the overheads into perspective, we also report overheads as a percentage of the input size.

The space overheads varied significantly across applications. We found that three applications (`canneal`, `swapations` and `reverse-index`) incur very high overheads (exceeding $1000\%$ of the input size), but, interestingly,

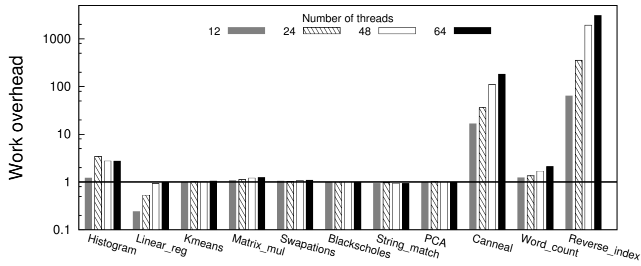
| Application | Input size | Memoized state | | CDDG | |
|---|---|---|---|---|---|
| Histogram | 230400 | 347 | (0.15%) | 57 | (0.02%) |
| Linear-reg. | 132436 | 192 | (0.14%) | 33 | (0.02%) |
| Kmeans | 586 | 1145 | (195.39%) | 27 | (4.61%) |
| Matrix-mul. | 41609 | 4162 | (10.00%) | 64 | (0.15%) |
| Swapations | 143 | 1473 | (1030.07%) | 1 | (0.70%) |
| Blackscholes | 155 | 201 | (129.68%) | 1 | (0.65%) |
| String match | 132436 | 128 | (0.10%) | 33 | (0.02%) |
| PCA | 140625 | 3777 | (2.69%) | 43 | (0.03%) |
| Canneal | 9 | 15381 | (170900.00%) | 4 | (44.44%) |
| Word count | 12811 | 10191 | (79.55%) | 24 | (0.19%) |
| Rev-index | 359 | 260679 | (72612.53%) | 64 | (17.83%) |

**Table 1.** Space overheads in pages and input percentage

nearly half of the applications (5/11) have a very low overhead (ranging from $0.1\%$ to $10\%$ of the input size).

**Performance overheads.** We measured `iThreads`'s performance overheads during the initial run (in terms of work and time) by comparing it against both `pthreads` and `Dthreads` (Figures 12 and 13). Our results show that most of the applications (7/11) incur modest overheads when compared with either `pthreads` (i.e., lower than 50%) or `Dthreads` (i.e., lower than 25%). In fact, `linear-reg` and `string-match` even performed better during the initial run of `iThreads` than with `pthreads`, which is explained by the fact that private address space mechanism avoid false sharing, as previously noted by Sheriff [62]. At the other end of the spectrum, applications such as `canneal` and `reverse-index` incur high overheads mainly due to the high number of memory pages written by these applications (as shown in Table 1).

When compared to `Dthreads` as the baseline, `iThreads` incurs work overheads of up to $3.58X$ and time overheads of up to $3.13X$. `iThreads` incurs additional overheads on



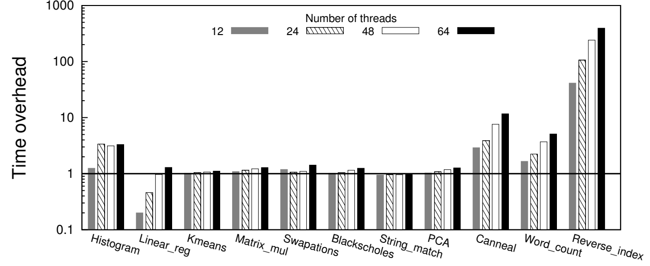




**Figure 12.** Performance overheads of `iThreads` with respect to `pthreads` for the initial run



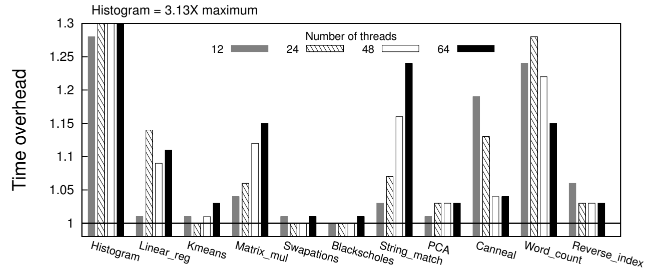



**Figure 13.** Performance overheads of `iThreads` with respect to `Dthreads` for the initial run

top of `Dthreads` mainly from two sources: memoization of the intermediate address space state and read page faults (`Dthreads` incurs write faults only). We show the work overheads along with a breakdown of these two sources of overheads with respect to `Dthreads` for 64 threads in Figure 14. The overheads are dominated by read page faults (around 98%) for most applications. For instance, `histogram` incurs overheads of roughly 3.5X due the large number of page faults while reading a large input file (as shown in Table 1). In contrast, some application such as `canneal` and `reverse-index` suffer a significant overhead for memoization (around 24%) due to a large number of dirtied pages.

### 6.4 Case-study Applications

In addition to the benchmark applications, we report the performance gains for two case-study applications: (1) Pigz [3], a parallel gzip compression library compressing a 50MB file, and (2) a monte-carlo simulation [2]. To compute speedups, we modified a random input block and compared the performance of the `iThreads` incremental run with the `pthreads` run. Figure 15 shows the work and time speedups with a varying number of threads (from 12 to 64). The performance gains peak at 24 threads for both applications. In particular, `iThreads` achieves a time speedup of $1.45X$ and a work speedup of $4X$ for Pigz, and a time speedup of $2.28X$ and a work speedup of $22.5X$ for the monte-carlo simulation.

To conclude, while there exist specific workloads for which our OS-based approach is not suitable, our evaluation is overall positive: `iThreads` is able to achieve significant time and work speedups both for many of the benchmark applications and also for the two considered case-studies.

## 7. Related Work

Researchers in the algorithms community proposed several *dynamic algorithms*, a class of algorithms that take advantage of application-specific properties to incrementally update the output. Dynamic algorithms have been shown to be asymptotically more efficient than their conventional non-dynamic versions (e.g., [25, 30, 37, 38, 42, 46, 68]). However, they can be difficult to design, implement, and maintain even for simple problems [10, 45]. Moreover, most dynamic algorithms are sequential, and cannot be easily parallelized due to their highly specialized nature. In contrast, `iThreads` provides incremental computation in a transparent way.

Incremental computation is a well-studied area in the programming languages community; see [73] for a classic survey. Earlier work on incremental computation was primarily based on dependence graphs [36, 53] and memoization [5, 51, 72]. In the past decade, with the development of self-adjusting computation [6–8, 29, 49, 50, 60, 61], the efficiency of incremental computation has much improved. In contrast to `iThreads`, however, most prior work in this area targets sequential programs only. Nonetheless, `iThreads`'s central data structure, the CDDG, is based on these foundations.

For supporting parallel incremental computation, existing proposals [27, 48] require a strict fork-join programming model without supporting other synchronization primitives. Furthermore, these proposals rely on the use of a new programming language with special data types (e.g., *isolation,*

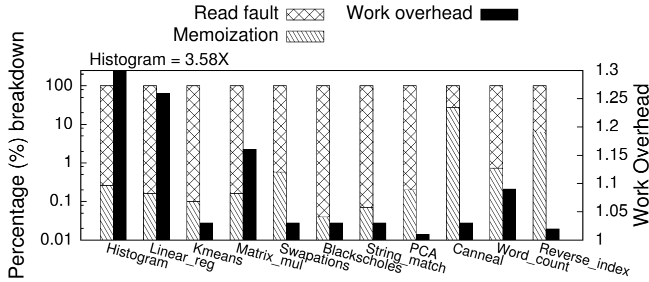



**Figure 14.** Work overheads breakdown w.r.t `Dthreads`

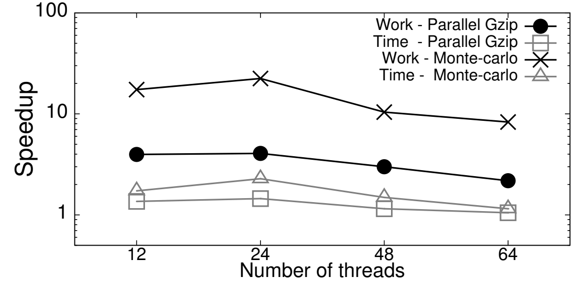



**Figure 15.** Work & time speedups for case-studies

*versioned, cumulative, merge function* [27] and *read, write, mod, and letpar* [48]). In contrast, our approach targets unmodified multithreaded programs supporting the full range of synchronization primitives in the `POSIX` API.

In very recent work, Tseng and Tullsen [78] proposed compiler-based whole-program transformations to eliminate redundant computation, which can be leveraged for faster incremental computation. The transformed programs rely on underlying hardware [76] and software [77] support to dynamically identify redundant code that can be skipped. In contrast, our approach directly operates at the binary level without requiring access to source code. A further design difference is that `iThreads` realizes incremental computation based on explicit change propagation, and that `iThreads` memoizes and reuses intermediate results of previous runs.

Incremental processing of "big data" is an active area of research [18–20, 22, 23, 26, 31, 47, 64, 70]. These "big data" systems exploit the underlying data-parallel programming model such as MapReduce [35] or Dryad [55] for supporting incremental computation. In contrast to these structured approaches where the dependence graph is explicitly available based on the programming model, `iThreads` is designed to support general shared-memory multithreaded programs.

In the context of increased reliability, prior work has yielded a large range of solutions to eliminate *non-determinism* from multithreaded programs. Most relevant to `iThreads` are the wide range record and replay techniques (e.g., [12, 41, 52, 57, 59, 69, 75, 79–81]) and deterministic multithreading approaches (e.g., [13–15, 17, 32–34, 39, 40, 54, 63, 67]). As described throughout the paper, these proven techniques are leveraged by `iThreads`, which applies them in a novel context, namely *transparent* parallel incremental computation.

## 8. Conclusion

We have presented `iThreads`, a *practical*, *transparent*, and *efficient* solution for parallel incremental computation. Our approach targets unmodified, multithreaded programs and supports the full range of synchronization primitives in the `POSIX` API. The `iThreads` library is easy to use: it simply replaces the `pthreads` library. Our experience with `iThreads` shows that significant performance gains (time savings) and efficient resource utilization (work savings) can be achieved for incremental workflows in many applications.

**Limitations and future work.** While `iThreads` is a significant step towards general and practical support for parallel incremental computation, plenty of opportunities remain to further increase the range of supported workloads.

For one, `iThreads`'s memory model currently lacks support for ad-hoc synchronization mechanisms [82]. While such mechanisms are error-prone [82], they are nonetheless used for either flexibility or performance reasons in some applications. Replacing the ad-hoc synchronization calls with equivalent pthread calls might solve the problem in some cases, but perhaps a better solution would be to extend `iThreads` with an interface for annotating ad-hoc synchronization primitives (e.g., at the level of `gcc`'s built-in atomic primitives).

Another interesting research challenge is improving support for small, localized insertions and deletions in the input data. Since `iThreads` is currently tuned for in-place modifications of the input data, insertions and deletions lead to the displacement of otherwise unchanged data, which causes an excessively large dirty-set. Prior work has solved the displacement problem in the context of data-deduplication by replacing fixed-size input chunking with variable-size, content-based chunking [21, 66]. We plan to explore similar approaches in the context of `iThreads`.

Lastly, our current implementation assumes the number of threads in the system remains the same. However, our approach can be extended to handle dynamically varying number of threads by considering newly forked threads or deleted threads as invalidated threads, where the writes of deleted threads are handled as "missing writes". The happens-before relationship for dynamically varying number of threads can be detected using interval tree clocks [11].

## Acknowledgments

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